

10/25/01

Jc784 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)

Attorney Docket No.

SP53

Total Pages

2

First Named Inventor or Applicant: [redacted] Morena, Robert

Title: POLLUCITE-BASED CERAMIC WITH LOW CTE

Express Mail Label No.

EV 041470919 US

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on October 25, 2001
(Date)

Signature

Anca C. Gheorghiu

"EXPRESS MAIL" Mailing Label No. EV 041470919 US

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Jc971 U.S. PTO
10/045256

10/25/01

1. ☒ * Fee Transmittal Form
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages] 27
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets] 4
4. ☐ Oath or Declaration [Total Pages] 2
- a. ☒ Executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s) 15. ☐ Other:
(if foreign priority is claimed)

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No

Prior application information: Examiner: Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

22928

or ☐ Correspondence address below

NAME	Anca C. Gheorghiu				
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	607-974-3322	FAX	(607) 974-3848
Name (Print/Type)	Anca C. Gheorghiu			Registration No. (Attorney/Agent)	44,120
Signature	<u>Anca C. Gheorghiu</u>			Date	October 25, 2001

FEE TRANSMITTAL for FY 2001

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Morena, Robert
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	SP00-253

TOTAL AMOUNT OF PAYMENT (\$1178.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

- ☒ Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\$)			
101	740		Utility filing fee	740.00
106	330		Design filing fee	
107	510		Plant filing fee	
108	740		Reissue filing fee	
114	160		Provisional filing fee	
SUBTOTAL (1)				(\$740.00)

2. EXTRA CLAIM FEES

	Extra	Fee from		Fee Paid
	Claims	below		
Total Claims	35 - 20** = 15	x 18 =		270.00
Independent Claims	5 - 3** = 2	x 84 =		168.00
Multiple Dependent		0 =		0.00

**or number previously paid, if greater; For Reissues, see below

Large Entity	Fee	Fee	Fee Description
Code	(\$)		
103	18		Claims in excess of 20
102	84		Independent claims in excess of 3
104	280		Multiple dependent claim, if not paid
109	84		** Reissue independent claims over original patent
110	18		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$438.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\$)			
105	130		Surcharge - late filing fee or oath	
127	50		Surcharge - late provisional filing fee or cover sheet	
139	130		Non-English specification	
147	2,520		For filing a request for reexamination	
112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840		Requesting publication of SIR after Examiner action	
115	110		Extension for reply within first month	
116	390		Extension for reply within second month	
117	890		Extension for reply within third month	
118	1,390		Extension for reply within fourth month	
128	1,890		Extension for reply within fifth month	
119	310		Notice of Appeal	
120	310		Filing a brief in support of an appeal	
121	270		Request for oral hearing	
138	1,510		Petition to institute a public use proceeding	
140	110		Petition to revive - unavoidable	
141	1,240		Petition to revive - unintentional	
142	1,240		Utility issue fee (or reissue)	
143	440		Design issue fee	
144	600		Plant issue fee	
122	130		Petitions to the Commissioner	
123	50		Petitions related to provisional applications	
126	240		Submission of Information Disclosure Stmt	
581	40		Recording each patent assignment per property (times number of properties) ___ x	
146	710		Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
149	710		For each additional invention to be examined (37 C.F.R. § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$ 0)**

SUBMITTED BY			Completed (if applicable)	
Name (Print/Type)	Anca C. Gheorghiu	Registration No. (Attorney/Agent)	44,120	
Signature	Anca Gheorghiu	Date	October 25, 2001	